

# Completeness for Concurrent Kleene Algebra

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NII Logic Seminar

# Introduction

Kleene Algebra models *program flow*.

- abort (0) and skip (1)
- atomic actions ( $a, b, \dots$ )
- non-deterministic choice (+)
- sequential composition ( $\cdot$ )
- indefinite repetition ( $*$ )

$$(e + f)^* \equiv_{KA} e^* \cdot (f \cdot e^*)^*$$

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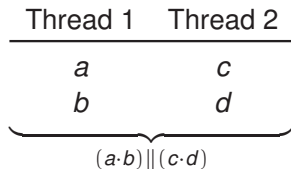
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Thread 1	Thread 2
$a$	$c$
$b$	$d$

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Concurrent KA<sup>1</sup> adds *parallel composition* ( $\parallel$ )

<sup>1</sup>Hoare, Möller, Struth, and Wehrman 2009

KA is well-studied:

- Decision procedures
- Coalgebra, automata
- Axiomatisation of equivalence

[Hopcroft and Karp 1971; Bonchi and Pous 2013]

[Kleene 1956; Brzozowski 1964; Silva 2010]

[Salomaa 1966; Conway 1971; Kozen 1994]

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CKA is a work in progress:

- Decision procedures [Brunet, Pous, and Struth 2017]
- Coalgebra, automata [K., Brunet, Luttk, Silva, and Zanasi 2017]
- Axiomatisation of equivalence [Gischer 1988; Laurence and Struth 2014]

## Theorem (Kozen 1994)

*The axioms for KA are complete for equivalence:*

$$e \equiv_{KA} f \iff \llbracket e \rrbracket_{KA} = \llbracket f \rrbracket_{KA}$$

$\llbracket - \rrbracket_{KA}$  is the regular language interpretation of  $e$ .

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## Question

*Can we find axioms for CKA that are complete for equivalence? That is,*

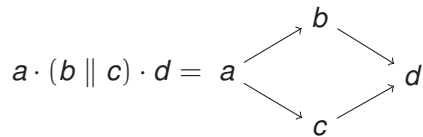
$$e \equiv_{CKA} f \stackrel{?}{\iff} \llbracket e \rrbracket_{CKA} = \llbracket f \rrbracket_{CKA}$$

$\llbracket - \rrbracket_{CKA}$  is a generalized regular language interpretation of  $e$ .



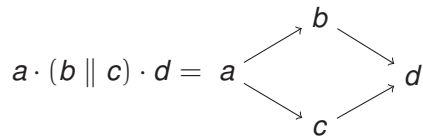
# Preliminaries

- Pomset: “word with parallelism”



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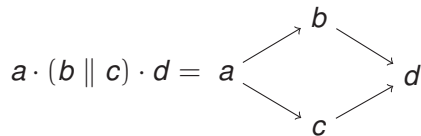
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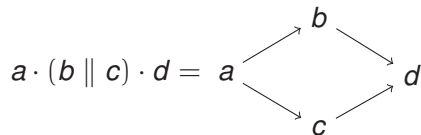
- Pomset language: set of pomsets

- Composition lifts:

- $\mathcal{U} \cdot \mathcal{V} = \{U \cdot V : U \in \mathcal{U}, V \in \mathcal{V}\}$

- $\mathcal{U} \parallel \mathcal{V} = \{U \parallel V : U \in \mathcal{U}, V \in \mathcal{V}\}$

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- Kleene star:  $\mathcal{U}^* = \bigcup_{n < \omega} \mathcal{U}^n$

# Preliminaries

$\mathcal{T}$  is the set generated by the grammar

$$e, f ::= 0 \mid 1 \mid a \in \Sigma \mid e + f \mid e \cdot f \mid e \parallel f \mid e^*$$

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BKA *semantics* is given by  $\llbracket - \rrbracket_{\text{BKA}} : \mathcal{T} \rightarrow 2^{\text{Pom}_\Sigma}$ .

$$\llbracket 0 \rrbracket_{\text{BKA}} = \emptyset$$

$$\llbracket 1 \rrbracket_{\text{BKA}} = \{1\}$$

$$\llbracket a \rrbracket_{\text{BKA}} = \{a\}$$

$$\llbracket e + f \rrbracket_{\text{BKA}} = \llbracket e \rrbracket_{\text{BKA}} \cup \llbracket f \rrbracket_{\text{BKA}}$$

$$\llbracket e \cdot f \rrbracket_{\text{BKA}} = \llbracket e \rrbracket_{\text{BKA}} \cdot \llbracket f \rrbracket_{\text{BKA}}$$

$$\llbracket e \parallel f \rrbracket_{\text{BKA}} = \llbracket e \rrbracket_{\text{BKA}} \parallel \llbracket f \rrbracket_{\text{BKA}}$$

$$\llbracket e^* \rrbracket_{\text{BKA}} = \llbracket e \rrbracket_{\text{BKA}}^*$$

# Preliminaries

Axioms for BKA :

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$$e \cdot f + g \leq_{\text{BKA}} f \implies e^* \cdot g \leq_{\text{BKA}} f$$

$$e \parallel f \equiv_{\text{BKA}} f \parallel e$$

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*The axioms for BKA are complete for equivalence:*

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- Closure* under pomset subsumption:  $\mathcal{U} \downarrow = \{U' \sqsubseteq U : U \in \mathcal{U}\}$

$\mathcal{U} \downarrow$ : all “sequentialisations” of pomsets in  $\mathcal{U}$ .

- CKA semantics:  $\llbracket e \rrbracket_{\text{CKA}} = \llbracket e \rrbracket_{\text{BKA}} \downarrow$ .



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- Axioms to build  $\equiv_{\text{CKA}}$ : all axioms for  $\equiv_{\text{BKA}}$ , as well as the *exchange law*:

$$(e \parallel f) \cdot (g \parallel h) \leq_{\text{CKA}} (e \cdot g) \parallel (f \cdot h)$$

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Lemma (Hoare, Möller, Struth, and Wehrman 2009)

*The axioms of CKA are sound for equivalence, i.e.,*

$$e \equiv_{\text{CKA}} f \implies \llbracket e \rrbracket_{\text{CKA}} = \llbracket f \rrbracket_{\text{CKA}}$$

## Theorem (Kozen 1994)

*Let  $M$  be an  $n$ -by- $n$  matrix over  $\mathcal{T}$ , and  $\vec{b}$  an  $n$ -dimensional vector over  $\mathcal{T}$ .*

*The inequation  $M \cdot \vec{x} + \vec{b} \leq_{\text{KA}} \vec{x}$  admits a unique least solution (with respect to  $\leq_{\text{KA}}$ ).*

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- This “fixpoint” can be constructed *fully syntactically*.
- The same works for BKA and CKA.
- In fact, the solution is the same in both systems!
- We use this as a device to find specific terms later on.

## Definition

Let  $e \in \mathcal{T}$ ; a *closure* of  $e$  is a term  $e\downarrow$  such that

1  $e\downarrow \equiv_{\text{CKA}} e$

2  $\llbracket e \rrbracket_{\text{CKA}} = \llbracket e\downarrow \rrbracket_{\text{BKA}}$



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## Lemma (Laurence & Struth)

*If every term  $e$  has a closure  $e\downarrow$ , then  $\llbracket e \rrbracket_{\text{CKA}} = \llbracket f \rrbracket_{\text{CKA}}$  implies  $e \equiv_{\text{CKA}} f$ .*

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## Proof.

Observe that  $\llbracket e\downarrow \rrbracket_{BKA} = \llbracket f\downarrow \rrbracket_{BKA}$ , and therefore  $e \equiv_{CKA} e\downarrow \equiv_{BKA} f\downarrow \equiv_{CKA} f$ . □

## Lemma

*If  $e, f$  have closures  $e\downarrow$  and  $f\downarrow$  respectively, then*

- 1  $e\downarrow + f\downarrow$  is a closure of  $e + f$
- 2  $e\downarrow \cdot f\downarrow$  is a closure of  $e \cdot f$
- 3  $e\downarrow^*$  is a closure of  $e^*$

## Lemma

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Induction hypothesis: for  $e \in \mathcal{T}$ , we assume that:

- If  $f$  is a strict subterm of  $e$ , we can construct  $f\downarrow$ .
- If  $|f| < |e|$  we can construct  $f\downarrow$ .<sup>2</sup>

---

<sup>2</sup> $|e|$  is the nesting level  $e$  w.r.t.  $\parallel$

A *preclosure* is almost a closure, but not quite.

### Definition

Let  $e \in \mathcal{T}$ . A *preclosure* of  $e$  is a term  $\tilde{e} \in \mathcal{T}$  such that

- 1  $\tilde{e} \equiv_{\text{CKA}} e$ .
- 2 if  $U \in \llbracket e \rrbracket_{\text{CKA}}$  is non-sequential, then  $U \in \llbracket \tilde{e} \rrbracket_{\text{BKA}}$

## Definition

Let  $e \in \mathcal{T}$ ;  $\Delta_e$  is the smallest relation on  $\mathcal{T}$  such that

$$\begin{array}{c}
 \overline{1 \Delta_e e} \qquad \overline{e \Delta_e 1} \qquad \frac{l \Delta_{e_0} r}{l \Delta_{e_1+e_0} r} \qquad \frac{l \Delta_{e_1} r}{l \Delta_{e_0+e_1} r} \qquad \frac{l \Delta_e r}{l \Delta_{e^*} r} \\
 \\
 \frac{l \Delta_{e_0} r \quad 1 \in \llbracket e_1 \rrbracket_{\text{CKA}}}{l \Delta_{e_0 \cdot e_1} r} \qquad \frac{l \Delta_{e_1} r \quad 1 \in \llbracket e_0 \rrbracket_{\text{CKA}}}{l \Delta_{e_0 \cdot e_1} r} \qquad \frac{l_0 \Delta_{e_0} r_0 \quad l_1 \Delta_{e_1} r_1}{l_0 \parallel l_1 \Delta_{e_0 \parallel e_1} r_0 \parallel r_1}
 \end{array}$$

## Lemma

Let  $V, W \neq 1$ ,  $e \in \mathcal{T}$ , and  $V \parallel W \in \llbracket e \rrbracket_{\text{BKA}}$ ; there exist  $l \Delta_e r$  with  $V \in \llbracket l \rrbracket_{\text{BKA}}$  and  $W \in \llbracket r \rrbracket_{\text{BKA}}$ .

## Definition

Let  $e, f \in \mathcal{T}$ ; the term  $e \odot f$  is defined as follows:

$$e \odot f \triangleq e \parallel f + \sum_{\substack{\ell \Delta_{e \parallel f} r \\ |\ell|, |r| < |e \parallel f|}} \ell \downarrow \parallel r \downarrow$$

## Lemma

Let  $e, f \in \mathcal{T}$ ; then

- 1  $e \odot f \equiv_{\text{CKA}} e \parallel f$

- 2 if  $U \in \llbracket e \parallel f \rrbracket_{\text{CKA}}$  is non-sequential, then  $U \in \llbracket e \odot f \rrbracket_{\text{BKA}}$

That is,  $e \odot f$  is a preclosure of  $e \parallel f$ .



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Sketch: given  $e \parallel f$ , apply exchange law syntactically, “in the limit”.

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For instance: if  $e = a \cdot b$  and  $f = c \cdot d$ :

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$$\blacksquare c \cdot ((a \cdot b) \parallel d) \leq_{\text{CKA}} e \parallel f$$

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■ ...

Goal: find enough of these terms to cover all pomsets in  $\llbracket e \parallel f \rrbracket_{\text{CKA}}$ .

Obstacles to overcome:

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 splicing relations

$$(e \parallel f) \cdot (e^* \parallel f^*) \leq_{\text{CKA}} e^* \parallel f^*$$



Obstacles to overcome:

- How to split terms  $e$  and  $f$  into heads and tails?
- What to do about recursion? For instance,

$$(e \parallel f) \cdot (e^* \parallel f^*) \leq_{\text{CKA}} e^* \parallel f^*$$

👉 splicing relations

👉 fixpoints of inequations

# Closure

## Definition

Let  $e \in \mathcal{T}$ . We define  $\nabla_e \subseteq \mathcal{T} \times \mathcal{T}$  as the smallest relation such that

$$\begin{array}{c} \overline{1 \nabla_1 1} \quad \overline{a \nabla_a 1} \quad \overline{1 \nabla_a a} \quad \overline{1 \nabla_{e^*} 1} \quad \frac{l \nabla_e r}{l \nabla_{e+f} r} \quad \frac{l \nabla_f r}{l \nabla_{e+f} r} \\ \\ \frac{l \nabla_e r}{l \nabla_{e \cdot f} r \cdot f} \quad \frac{l \nabla_f r}{e \cdot l \nabla_{e \cdot f} r} \quad \frac{l_0 \nabla_e r_0 \quad l_1 \nabla_f r_1}{l_0 \parallel l_1 \nabla_{e \parallel f} r_0 \parallel r_1} \quad \frac{l \nabla_e r}{e^* \cdot l \nabla_{e^*} r \cdot e^*} \end{array}$$

## Lemma

Let  $e \in \mathcal{T}$  and  $U \cdot V \in \llbracket e \rrbracket_{\text{WCKA}}$ ; there exist  $l \nabla_e r$  such that  $U \in \llbracket l \rrbracket_{\text{CKA}}$  and  $V \in \llbracket r \rrbracket_{\text{CKA}}$ .

# Closure

Suppose that for all  $g, h \in \mathcal{T}$ , we have that  $X_{g\parallel h}$  is a closure of  $g \parallel h$ .

Then we find

$$e \parallel f + \sum_{\substack{\ell_e \nabla_e r_e \\ \ell_f \nabla_f r_f}} (\ell_e \parallel \ell_f) \cdot (r_e \parallel r_f) \leq_{\text{CKA}} X_{e\parallel f}$$

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# Closure

Suppose that for all  $g, h \in \mathcal{T}$ , we have that  $X_{g||h}$  is a closure of  $g || h$ .

Then we find

$$e || f + \sum_{\substack{l_e \nabla_e r_e \\ l_f \nabla_f r_f}} (l_e \odot l_f) \cdot X_{r_e || r_f} \leq_{\text{CKA}} X_{e || f}$$

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## Lemma

*Continuing this, we get a finite system of inequations  $\langle M, \vec{b} \rangle_{e || f}$ .*

## Theorem

Let  $e \otimes f$  be the least solution to  $X_{e \parallel f}$  in  $\langle M, \vec{b} \rangle_{e \parallel f}$ . Then the following hold:

1  $e \otimes f \equiv_{\text{CKA}} e \parallel f$

2  $\llbracket e \otimes f \rrbracket_{\text{BKA}} = \llbracket e \parallel f \rrbracket_{\text{CKA}}$

In other words,  $e \otimes f$  is a closure of  $e \parallel f$ .



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## Theorem

If  $e \in \mathcal{T}$ , then we can compute a term  $e \downarrow$  that is a closure of  $e$ .

## Corollary

Let  $e, f \in \mathcal{T}$  be such that  $\llbracket e \rrbracket_{\text{CKA}} = \llbracket f \rrbracket_{\text{CKA}}$ ; then  $e \equiv_{\text{CKA}} f$ .

# Conclusion

- Axiomatised equality of *closed, rational pomset languages*.
- Results establishes these as the carrier of the free CKA.
- Extends half of earlier Kleene theorem: terms to pomset automata.
- We also obtain a novel (but inefficient) decision procedure.

# Further work

- Explore coalgebraic perspective:
  - Efficient equivalence checking through bisimulation?
  - Can completeness be shown coalgebraically?
- Add “parallel star” operator — closure method does not apply.
- Endgame: lift results to KAT, then NetKAT.

Thank you for your attention

GoNeCo



Implementation: <https://doi.org/10.5281/zenodo.926651>.

Draft paper: <https://arxiv.org/abs/1710.02787>.